# Machine Learning Assisted Bayesian Calibration of Model Physics Parameters for Wetland Methane Emissions: A Case Study at a FLUXNET-CH4 Site

Sandeep Chinta<sup>1</sup>, Xiang Gao<sup>1</sup>, Qing Zhu<sup>2</sup>

<sup>1</sup>Center for Global Change Science, Massachusetts Institute of Technology, Cambridge, Massachusetts, USA.

<sup>2</sup>Climate and Ecosystem Sciences Division, Climate Sciences Department, Lawrence Berkeley National Laboratory, Berkeley, California, USA. sandeepc@mit.edu, xgao304@mit.edu, qzhu@lbl.gov

### Abstract

Methane (CH<sub>4</sub>) possesses a notably higher warming potential than carbon dioxide 1 2 despite its lower atmospheric concentration, making it integral to global climate 3 dynamics. Wetlands stand out as the predominant natural contributor to global 4 5 6 7 8 methane emissions. Accurate modeling of methane emissions from wetlands is crucial for understanding and predicting climate change dynamics. However, such modeling efforts are often constrained by the inherent uncertainties in model parameters. Our work leverages machine learning (ML) to calibrate five physical parameters of the Energy Exascale Earth System Model (E3SM) land model 9 (ELM) to improve the model's accuracy in simulating wetland methane 10 emissions. Unlike traditional deterministic calibration methods that target a single 11 set of optimal values for each parameter, Bayesian calibration takes a probabilistic 12 approach and enables capturing the inherent uncertainties in complex systems and 13 providing robust parameter distributions for reliable predictions. However, 14 Bayesian calibration requires numerous model runs and makes it computationally 15 expensive. We employed an ML algorithm, Gaussian process regression (GPR), 16 to emulate the ELM's methane model, which dramatically reduced the 17 computational time from 6 CPU hours to just 0.72 milliseconds per simulation. We exemplified the procedure at a representative FLUXNET-CH<sub>4</sub> site (US-PFa) 18 19 with the longest continuous methane emission data. Results showed that the 20 default values for two of the five parameters examined were not aligned well with 21 their respective posterior distributions, suggesting that the model's default 22 parameter values might not always be optimal for all sites, and that site-specific 23 analysis is warranted. In particular, analyses at sites with different vegetation 24 types and wetland characteristics could reveal more useful insights for 25 understanding methane emissions modeling.

## 26 1 Introduction and Motivation

27 Greenhouse gas (GHG)-induced climate change poses unprecedented challenges and serious risks 28 for human society and natural environment. Methane is the second most important GHG, with ~25 29 times stronger 100-year global warming potential than carbon dioxide [1, 2]. Since the Industrial 30 Revolution, the atmospheric concentrations of CH<sub>4</sub> have sharply risen and doubled since pre-31 industrial times. Alarmingly, its growth rate in 2021 marked the highest record since 1984 [3-5]. 32 Such increases have profound implications for global warming and highlight the urgency to manage 33 its emissions effectively. Wetlands account for approximately 30% of global methane emissions and 34 are the primary natural source [6]. However, wetland methane emission estimates based on 35 biogeochemistry models remain highly uncertain [7, 8], mainly because CH<sub>4</sub> dynamics rely on a 36 large number of poorly constrained model parameters to characterize a diverse array of physical,

biological, and chemical processes [9, 10]. While these parameters typically have fixed (default) values, their exact values are often ambiguous and present large uncertainty. The parameter values are usually determined within their theoretically plausible uncertainty ranges based on the most reliable knowledge available. One of the essential paths to achieve reduced biases in methane emission estimates is to identify the most critical model parameters via sensitivity analysis and determine their optimal distribution through calibration.

43 Traditional deterministic calibration methods focus on identifying an optimal parameter value by 44 comparing model output to observations, frequently neglecting the inherent predictive uncertainties 45 and incorrectly assuming that there is always a single set of optimal values for all parameters [11]. 46 Nevertheless, a single optimal set may not always exist for a problem. Even if it exists, the associated 47 uncertainties could be large. Moreover, several alternative parameter configurations may yield similarly accurate results, challenging the notion of a single optimal parameter set [12, 13]. 48 49 Considering these challenges posed by the deterministic calibration methods and the inherent 50 complexities of methane models, there is a need for a more nuanced and statistically rigorous 51 approach to parameter calibration. Probabilistic approaches like Bayesian calibration handle these 52 issues by statistically representing parameter uncertainties [14]. The input parameter space is 53 represented as probability distributions of parameters. Multiple parameter samples are drawn from 54 this distribution, and simulations are conducted for these samples, inherently creating an ensemble 55 of model predictions. An objective function is used to evaluate the prediction range by comparing 56 these simulation outputs with observations. After calibration, final posterior distributions of the 57 parameters are obtained. When samples are drawn from these distributions, the resulting 58 simulations, forming an ensemble, more closely match the observations. However, it is important 59 to note that this approach generally requires massive computational power to simulate the methane 60 model multiple times. Hence, there is a compelling need for strategies like machine learning that can facilitate this process but also retain accuracy. ML-based emulators have emerged as a pivotal 61 tool to emulate the behavior of complex earth system models for achieving this goal. These 62 63 emulators are first trained on a subset of model simulations to learn the intricate relationships 64 between inputs and outputs. The trained emulators can then be used to predict the model's response 65 for a new set of parameters, effectively eliminating the need for exhaustive simulations every time there is a change in parameter values. This approach is particularly advantageous for Bayesian 66 67 calibration, where thousands of simulations are needed to explore the parameter uncertain space 68 adequately. Until now the attempt is still very few for applying this probabilistic approach to predict 69 wetland methane emissions from land models.

70 This study aims to bridge the gap between the intensive computational demands of Bayesian 71 calibration and the desired accuracy in wetland methane emission modeling. This is achieved by 72 emulating the ELM with the Gaussian Process Regression (GPR) ML algorithm. We leverage 73 observed CH<sub>4</sub> emission data from a specific FLUXNET-CH<sub>4</sub> site (US-PFa) as a case study. We 74 select five model parameters and train GPR for estimating the error associated with methane 75 emission outputs. Our primary objective is to minimize the error between the emulator-estimate 76 emissions and corresponding observations. While we only focus on one site, the demonstrated 77 methodology is expected to be easily extended to other FLUXNET-CH4 sites with much broader 78 applicability.

## 79 2 Model parameters and FLUXNET-CH<sub>4</sub> site (US-PFa) data

80 We configured the most recent version of the Energy Exascale Earth System Model (E3SM) land 81 model (ELM) (https://github.com/E3SM-Project/E3SM), which contains many added new features for the CH<sub>4</sub> dynamics modeling [15]. In previous studies, a comprehensive sensitivity analysis was 82 83 conducted for 19 ELM parameters associated with different CH<sub>4</sub> dynamic processes and five 84 parameters are identified as sensitive for methane emission [16]. These five sensitive parameters are 85 presented in Table 1 along with their default values, theoretical ranges, and brief description. A 86 uniform prior distribution is assumed for these parameters. Our objective is to identify a posterior 87 distribution of these five parameters to minimize the emission prediction error.

88 FLUXNET-CH4 is a global network of sites that provides continuous, high-frequency, and quality-

- 89 checked CH<sub>4</sub> emission flux measurements. US-PFa [17](<u>https://ameriflux.lbl.gov/sites/siteinfo/US-</u>
- 90 PFa) with longest continuous available data is chosen for our study. The monthly averaged data

91 from this site is used to evaluate the monthly averaged site-specific simulated emissions from ELM

|   | Mechanism                 | Parameter  | Default            | Range                                    | Units               | Description  |
|---|---------------------------|------------|--------------------|--|---------------------|--|
| _ | Production                | $Q_{10}$   | 2                  | [1.5 4]                                  | -                   | $CH_4$ production $Q_{10}$   |
|   |                           | $f_{CH_4}$ | 0.2                | [0.1 0.3]                                | -                   | Ratio between CH <sub>4</sub> and CO <sub>2</sub> production below the water table |
| _ | Substrate<br>availability | $Z_{\tau}$ | 0.5                | [0.1 0.8]                                | m                   | e-folding depth for decomposition  |
| _ | Diffusion                 | $f_{D_o}$  | 1                  | [1 10]                                   | $m^2 s^{-1}$        | Diffusion coefficient multiplier   |
| _ | Oxidation                 | $K_{O_2}$  | 2×10 <sup>-2</sup> | $[2 \times 10^{-3} \\ 2 \times 10^{-1}]$ | mol m <sup>-3</sup> | O2 half-saturation oxidation coefficient   |

| 93 | Table 1: List of 5 ELM | parameters used and their default | values, ranges, and brief description. |
|----|------------------------|-----------------------------------|--|
|    |                        |                                   | ······································ |

#### 94 **3 Methodology and Results**

model for various parameter samples.

92

95 A commonly adhered guideline [18] suggests using a sample size ten times the number of model 96 parameters for training ML models. Following this, we generated sixty samples (exceeding the 10\*5 97 criterion) of diverse parameter values using Latin Hypercube Sampling (LHS) [19] to train the GPR. 98 Another set of 20 independent LHS samples are generated to test the GPR fit. Simulations are also 99 conducted with the ELM methane model based on these 80 samples and the corresponding root-100 mean-square-error (RMSE) for each simulation is evaluated using observed monthly averaged 101 emissions. The RMSE values from these 80 simulations is normalized by dividing with RMSE value 102 from the simulation with default parameter values. This is referred to as normalized RMSE 103 (nRMSE). Any value of nRMSE < 1 implies that the parameter sample is better than default. Ideally, 104 we would want nRMSE closer to 0. GPR is trained with normalized parameter values in the ranges 105 from Table 1 (normalized to [0 1] using MinMax scaling) as inputs and nRMSE values as outputs with the 60 simulations. Subsequent testing of the trained GPR on 20 test samples results in an  $R^2$ 106 107 value of 0.92, indicating a strong model fit. This GPR model is subsequently employed for Bayesian 108 calibration.

109 Bayesian calibration is a process of updating our beliefs about model parameters based on observed 110 data. We start with prior beliefs (priors), minimize nRMSE (likelihood), and then update our beliefs to obtain the posterior distribution. Markov Chain Monte Carlo (MCMC) [20] is employed (using 111 112 'emcee' package [21], which is particularly efficient for multi-dimensional problems) to construct 113 a Markov chain where the stationary distribution (the distribution to which the chain converges over 114 time) is the desired posterior distribution. By running the chain for a sufficient number of steps (12000) and discarding an initial set of "burn-in" samples (3000), we obtain samples that 115 116 approximate the posterior distribution. Convergence of the MCMC chains is ensured by implementing the Gelman-Rubin diagnostic ( $\hat{R}$ ) to check for convergence [22, 23]. A value of  $\hat{R}$ 117 118 close to 1 for all parameters indicate convergence.  $\hat{R}_{1,2,3,4,5} = (1.022, 1.032, 1.042, 1.011, 1.019).$ 119 This implies that the parameters converged to a posterior distribution minimizing the nRMSE and 120 the distributions are presented in Figure 1. The mean nRMSE of the posterior distribution of 121 parameters is 0.228, which is a remarkable 77.2% reduction in error compared to default. The  $2\sigma$ 122 range (95% confidence) of nRMSE is [0.199 0.254], which depicts a significant improvement from 123 default.

124 The posterior distribution of parameters in Fig. 1 offers several insights. The default parameter 125 values for  $Q_{10}$  and  $f_{CH4}$  are outside the  $2\sigma$  interval, which indicates these parameters likely have true 126 values different from the default values. Even though  $z_{\tau}$  has its default value within the  $2\sigma$  interval, 127 it is less likely than the values near the bounds (a bi-modal distribution). The posterior distribution 128 of  $K_{O2}$  is closer to uniform distribution, which is our prior distribution, implying that this 129 parameter is not very sensitive to methane emission at US-PFa site.



130

Fig. 1: Posterior distribution of five parameters following Bayesian calibration, plotted over a normalized parameter range. The red vertical line denotes the default value of the parameter. The green horizontal line denotes the prior distribution, which was the uniform distribution. The  $2\sigma$ interval, encompassing 95% of the distribution's values, is marked by violet vertical lines.

### 135 4 Conclusions and Future Work

Our study delves into the crucial challenge of accurately predicting wetland methane emissions by 136 137 adjusting ELM parameters using Bayesian calibration. Employing a machine learning algorithm 138 (GPR) as an emulator for the ELM demonstrates significant potential in reducing computational 139 demand. A remarkable improvement of 77% (normalized RMSE) was achieved compared to the 140 default model parameters. This deviation of posterior distributions from the default values highlights 141 that models may require fine-tuning to address site-specific nuances, particularly when addressing 142 heterogeneous systems like wetlands. While our study focused on the US-PFa FLUXNET-CH4 site, 143 the presented methodology is universally applicable. This methodology can be easily extended to 144 global wetland ecosystems with different vegetation types to get further insights into wetland 145 methane emissions dynamics and relevant parameters. As methane emissions have seasonal 146 fluctuations, it is our future interest to explore whether the posterior distributions change relative to 147 seasons.

## 148References

149 1. Solomon, S., others: Climate change 2007: the physical science basis. Contribution of Working 150 Group I to the Fourth Assessment Report of the Intergovernmental Panel on Climate Change. 4, 151 (2007)152 2. IPCC: Climate Change 2013: The Physical Science Basis. Contribution of Working Group I to the 153 Fifth Assessment Report of the Intergovernmental Panel on Climate Change. Presented at the (2013) 154 3. Saunois, M., Stavert, A.R., Poulter, B., Bousquet, P., Canadell, J.G., Jackson, R.B., Raymond, P.A., 155 Dlugokencky, E.J., Houweling, S., Patra, P.K., others: The Global Methane Budget 2000-2017. Earth 156 Syst Sci Data. 12, 1561–1623 (2020) 157 4. Lan, X., Thoning, K.W., Dlugokencky, E.J.: Trends in globally-averaged CH\_4, N\_2O, and SF\_6 158 determined from NOAA Global Monitoring Laboratory measurements, (2023) 159 5. Dlugokencky, E.J., Bruhwiler, L., White, J.W.C., Emmons, L.K., Novelli, P.C., Montzka, S.A., 160 Masarie, K.A., Lang, P.M., Crotwell, A.M., Miller, J.B., others: Observational constraints on recent 161 increases in the atmospheric CH4 burden. Geophys Res Lett. 36, (2009) 162 6. Kirschke, S., Bousquet, P., Ciais, P., Saunois, M., Canadell, J.G., Dlugokencky, E.J., Bergamaschi, 163 P., Bergmann, D., Blake, D.R., Bruhwiler, L., others: Three decades of global methane sources and 164 sinks. Nat Geosci. 6, 813-823 (2013) 165 7. Jackson, R.B., Saunois, M., Bousquet, P., Canadell, J.G., Poulter, B., Stavert, A.R., Bergamaschi, P., 166 Niwa, Y., Segers, A., Tsuruta, A.: Increasing anthropogenic methane emissions arise equally from 167 agricultural and fossil fuel sources. Environmental Research Letters. 15, 71002 (2020) 168 8. Rosentreter, J.A., Borges, A. V, Deemer, B.R., Holgerson, M.A., Liu, S., Song, C., Melack, J., 169 Raymond, P.A., Duarte, C.M., Allen, G.H., others: Half of global methane emissions come from 170 highly variable aquatic ecosystem sources. Nat Geosci. 14, 225–230 (2021) 171 9. Riley, W.J., Subin, Z.M., Lawrence, D.M., Swenson, S.C., Torn, M.S., Meng, L., Mahowald, N.M., 172 Hess, P.: Barriers to predicting changes in global terrestrial methane fluxes: analyses using CLM4Me, 173 a methane biogeochemistry model integrated in CESM. Biogeosciences. 8, 1925–1953 (2011) 174 10. Ricciuto, D.M., Xu, X., Shi, X., Wang, Y., Song, X., Schadt, C.W., Griffiths, N.A., Mao, J., Warren, 175 J.M., Thornton, P.E., others: An integrative model for soil biogeochemistry and methane processes: 176 I. Model structure and sensitivity analysis. J Geophys Res Biogeosci. 126, e2019JG005468 (2021) 177 11. Hoversten, G.M., Cassassuce, F., Gasperikova, E., Newman, G.A., Chen, J., Rubin, Y., Hou, Z., 178 Vasco, D.: Direct reservoir parameter estimation using joint inversion of marine seismic AVA and 179 CSEM data. Geophysics. 71, C1–C13 (2006) 180 12. Gupta, H.V., Sorooshian, S., Yapo, P.O.: Toward improved calibration of hydrologic models: 181 Multiple and noncommensurable measures of information. Water Resour Res. 34, 751–763 (1998) 182 13. Van Straten, G.T., Keesman, K.J.: Uncertainty propagation and speculation in projective forecasts of 183 environmental change: A lake-eutrophication example. J Forecast. 10, 163-190 (1991) 184 14. Beven, K., Binley, A.: The future of distributed models: model calibration and uncertainty prediction. 185 Hydrol Process. 6, 279–298 (1992) 186 15. Zhu, Q., Riley, W.J., Tang, J., Collier, N., Hoffman, F.M., Yang, X., Bisht, G.: Representing nitrogen, 187 phosphorus, and carbon interactions in the E3SM land model: Development and global 188 benchmarking. J Adv Model Earth Syst. 11, 2238-2258 (2019) 189 16. Chinta, S., Gao, X., Zhu, Q.: Sensitivity Analysis and Uncertainty Quantification of E3SM Methane 190 model using Machine Learning. In: AGU Fall Meeting Abstracts. pp. B16D-02 (2022) 191 Desai, A.R., Xu, K., Tian, H., Weishampel, P., Thom, J., Baumann, D., Andrews, A.E., Cook, B.D., 17. 192 King, J.Y., Kolka, R.: Landscape-level terrestrial methane flux observed from a very tall tower. Agric 193 For Meteorol. 201, 61-75 (2015) 194 18. Loeppky, J.L., Sacks, J., Welch, W.J.: Choosing the sample size of a computer experiment: A 195 practical guide. Technometrics. 51, 366–376 (2009) 196 19. McKay, M.D., Conover, W.J., Beckman, R.J.: A comparison of three methods for selecting values of 197 input variables in the analysis of output from a computer code. Technometrics. 21, 239–245 (1979) 198 20. Brooks, S., Gelman, A., Jones, G., Meng, X.-L.: Handbook of markov chain monte carlo. CRC press 199 (2011)200 21. Foreman-Mackey, D., Hogg, D.W., Lang, D., Goodman, J.: emcee: the MCMC hammer. Publications 201 of the Astronomical Society of the Pacific. 125, 306 (2013) 202 22. Gelman, A., Rubin, D.B.: Inference from iterative simulation using multiple sequences. Statistical 203 science. 7, 457–472 (1992) 204 23. Vats, D., Knudson, C.: Revisiting the gelman-rubin diagnostic. Statistical Science. 36, 518-529 205 (2021)206